

BUSINESS AND TECHNOLOGY

- Strategy, Advisory, Planning & Execution
- IT & Data Governance—PMO, EPMO, VMO, BMO, DGO
- Business Transformation
- Business Intelligence & Data Analytics
- Business and IT Strategy/Roadmap
- Business Case Development
- IT Security and Risk Assessment
- Organizational Effectiveness
- BPE, BPM, BPI, BPO, Outsourcing
- E-Business Transformation/Multichannel harmonization using SOA
- PM Best Practices, optimization, mentoring/coaching
- ROI Tracking/Benefits realization
- Financial Due Diligence and Tracking
- Performance Management
- Regulatory Compliance
- Enterprise Data Management

WHY US?

- We start by Understanding Your Business first
- Our Managing Partners bring a strong track record of successful deliveries
- Well over \$2 Billion in programs and projects managed over the years
- Our Knowledge, Expertise, People, Sound Practices, Process and Delivery Model combine to provide you, our client with Pragmatic Relevant Solutions
- Our commitment is total and we believe we only succeed when our clients succeed in sustaining continuous improvement

Our **COMMITMENT** Your **SUCCESS**

IntellectComm (IMC) is a boutique Business and IT management consulting firm with a successful track record of providing clients with solutions to business and technology initiatives from strategy through to implementation.

Focus on major Financial Services organizations: Banking, Brokerage, Investment Management, Capital Markets, Trust, Insurance, Wealth Management, Asset Management, Pensions, etc.

Team of exceptional practitioners with an average of 20+ years of experience in financial services. Fully dedicated team that can “expand the executive bandwidth”

IMC has built a highly successful track record as a trusted partner to our clients.

We Bring...

- Depth and Breadth of Industry knowledge, domain area expertise, and best practices.
- Experience within leading, multinational organizations such as RBC, Scotiabank, CPPIB, AIMCO, L&T InfoTech, BMO, TD Bank, Fidelity Investments, CIBC, Bank of Montreal, Aviva, Spectrum Mutual Funds and more...
- Senior practitioners with 15 to 30 years of experience
- Niche Subject Matter Expertise in Financial Services
- Industry know how, thought leadership and best practices
- Demonstrated track record within large, complex, multinational organizations
- Partner Level expertise at a fraction of the cost
- Experience in helping organizations reduce their operational costs through development of standardized IT products and services.

FINANCIAL SERVICES

- Mutual Fund Processing
- Banking
- Brokerage
- Capital Markets
- Trust
- Wealth Management, Asset Management, Investment Management.
- Treasury and Cash Management
- Pensions
- Insurance
- Risk/Regulatory/Compliance
- Financial Accounting
- Portfolio Management
- Portfolio Accounting
- Performance Management

PROFESSIONAL AND VALUE-ADDED SERVICES

- Implementation, Upgrades & Integration
- Program/Project Management
- Regulatory Compliance
- Risk Management
- Coaching/Mentoring/Training
- Engagement Management— Our Managing Partner will intimately familiar with the client goals and use project management principles to help our consultant meet client objective
- Client Reporting — Provide status/ progress reports on all our engagements on period basis.
- Team Collaboration — Our team provides support, guidance, help to our consultant.



BUSINESS AND TECHNOLOGY SERVICES

STRATEGY

- Organizational Assessment and Development/Implementation of Strategies for Organizational effectiveness improvement
- RFP Preparation, Evaluation, Vendor Contract Negotiation, Service Level Agreements and Vendor/Relationship Management process
- Applications Review, Solutions Assessment
- Technology Remediation and Modernization
- Business Planning and Business Case Development.

BUSINESS AND IT TRANSFORMATION

- Business Process Engineering and Optimization
- Business Intelligence and Reporting
- Enterprise Change Management
- Performance Management

REGULATORY COMPLIANCE

- Enterprise Risk Management
- Operations Risk Management
- Liquidity, Credit and Market Risk Expertise
- Regulatory Compliance— EMIR, BASEL,AML,FATCA,DODD-FRANK, etc.

IT SECURITY AND RISK

- IT Security and Risk Assessment (TRA Assessment, Threat Monitoring, etc.)
- Security Assessment and Audit
- Vulnerability Assessment & Risk Management Consulting

IT GOVERNANCE AND BEST/SOUND PRACTICES

- Program/Project Management Office (EPMO/PMO) Startup, PMO process optimization, framework development, PPM tools implementation
- Vendor management office (CMO) startup and process optimization
- Project Portfolio Management
- Benefits Realization and Financial Due Diligence

PROGRAM/PROJECT LEADERSHIP

- Program/Project Management, Delivery, Audit/Review/Assessment.
- Leadership and Program/Project Management Coaching/Mentoring

ARCHITECTURE AND DATA MANAGEMENT/GOVERNANCE

- Business, Solution, Data and Technology Architecture design and Implementation
- Enterprise Data Management, Data Governance best practices/process, Data Governance Office (DGO) startup
- Business Intelligence & Enterprise Data Management
- Organizational Assessment Development/Implementation of Strategies
- Business Planning and Business Case Development

FINANCIAL SERVICES

- Mutual Fund Processing
- Retail and Commercial Banking
- Brokerage
- Capital Markets
- Trust and Investor Services
- Wealth Management, Asset Management
- Investment Management
- Treasury and Cash Management
- Pensions
- Insurance
- Risk Management
- Regulatory Compliance
- Financial Accounting
- Portfolio Management
- Portfolio Accounting
- Performance Management

FINANCIAL SERVICES PRACTICE

IMC' Financial Services Practice serves a wide variety of clients in wealth management, capital markets, asset management, retail banking, commercial banking, investment banking/brokerage, investment management, consumer finance, securities, insurance, and diversified financial services.

We **partner** with our clients to work on key challenges facing them today: from growth and industry consolidation to operational efficiency and risk management. Our team of specialized consultants bring years of direct, front-line experience in a full range of products and technologies within the financial markets, helping clients elevate their performance by implement transformative solutions thereby increasing efficiencies and reducing costs.

IMC's team of financial services management consultants are uniquely positioned to **differentiating strategies** to help our clients. Financial services sector continues to face competitive pressures and volatility due to globalization, market consolidation and new technologies, forcing leaders to innovate at an unprecedented pace. Now more than ever, financial services firms need to develop strategies that differentiate them and enable them to continue to meet customer needs.

Our dedicated financial services specialists also bring depth and breadth of **domain area expertise** to provide customized advisory, design and analysis services to address challenges such as regulatory compliance, operations process improvement, business intelligence and reporting, big data, project and program governance, customer relationship management, new product development, optimization and management, and channel/social media strategies. Our research team offer a studies specific to financial services tailored to addressing any client inquiries.

Our team have experience **solving problems** on behalf of our clients which include major financial services organizations such as banking, insurance, wealth managers, asset managers, investment management firms, capital markets, etc. We have a strong track record of partnering with our clients to help them successfully navigate the ever-changing landscape of the financial services industry, using our expert knowledge and deep industry experience to provide lasting results.



SUCCESS STORIES

- Directed and managed a program to rapidly migrate the entire **Claims Processing Operations (People, Process and Technology)** as a result of business acquisition for a large global insurance firm.
- Provided thought leadership and subject matter expertise for **Corporate Actions Processing** for securities lending to a large pension investment organization.
- Planned and conducted strategic assessment of Imaging, Document Management and **Enterprise Content Management**
- Wealth Management, Asset Management, Investment Management.
- Defined PMLC Framework to align to the EPMO Methodology for the Phone Cannels PMO of a large Canadian Bank.
- Built program governance framework and the Program Office for a large Capital Markets organization
- Created a Project Delivery Centre of Excellence for the IT organization of a large wealth management firm.

PROGRAM/PROJECT MANAGEMENT AND IT GOVERNANCE

IMC believes in establishing sound project management processes with the right rigor and discipline necessary and unique to our client's organization and culture, to achieve successful outcomes. We work with Canada's leading Financial Services organizations on their most critical and complex initiatives. From the upfront due diligence, to Program/Project Governance structures/ processes and planning, and all the way through to execution and delivery, we specialize in bringing programs/projects to successful completion, on-time and on-budget

IMC Project Management Advantage is in the breadth and depth of our experience. Each of our highly experienced management consultants are industry experts, well-versed in developing sound project delivery frameworks using industry best practices, frameworks and methodologies.

IMC is a Trusted Partner to our clients in Program/Project deliveries.

Our specialties include:

- (Enterprise) Program/Project Office Framework Development and Process Optimization
- Program/Project Health Checks, Assessments, Audit
- Program/Project/Project Portfolio Governance & Processes Development
- PPM Tools Assessment and Implementation
- PMO Organization, Talent and Skills Assessment
- Project Management Training, Coaching, and Mentoring
- Vendor Management Governance Framework Development
- Vendor Management Office start up
- Program/Project/Project Portfolio Execution and Management
- Business Case Development and Tracking
- Scope and Change Management
- Project Risk Management and Monitoring
- Benefits Realization and Tracking
- Financial Due-Diligence and Tracking
- Resource Management
- Post-implementation Transition and Support
- Value-add Project Resources



SUCCESS STORIES

- Directed Business Needs Assessment and developed Strategy and Business Case for Investment/Portfolio Management Data Hub Solution for a large Canadian Banking institution.
- Managed IT and Business Program for a large BI/BA deliveries for regulatory reporting
- Developed strategy and business cases for multiple (CRM, BI) which included vendor assessments, solution road-map, a complete budget as well as a ROI financial model for a large Canadian banking institution.

BUSINESS INTELLIGENCE PRACTICE

IMC has been providing strategic consulting services within the **Enterprise Data Management** space which includes Business Intelligence and Business Analytics for the past 14 years, essentially since we were founded.

Our Business Analytics team helps our clients unlock the power of BI solutions to address their business needs. IMC can help clients **Plan, Deploy, and Optimize** their investments in Business Analytics solutions within our Business Intelligence Analytics consulting services.

We can help take business analytics to the next level to:

- Unlock the power of instant data access
- Get information you need, when you need it
- Achieve more agile, fact-based decision-making throughout your organization
- Optimize your investments in BI solutions such as SAP, Oracle, Tableau, etc.

